

61
Serial No.: 09/898,150

AF/265
PATENT
PD000032
61

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Dietmar Uhde et al.
Serial No. : 09/898,150
Filed : July 3, 2001
For : METHOD FOR QUICKLY PRODUCING READ AND/OR WRITE
READINESS OF AN APPARATUS FOR READING FROM AND/OR
WRITING TO AN OPTICAL RECORDING MEDIUM; AND
CORRESPONDINGLY CONFIGURED APPARATUS
Examiner : Jorge L. Ortiz Criado
Art Unit : 2655

INFORMATION DISCLOSURE STATEMENT

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AUG 12 2004

Technology Center 2600

- [] 1 Pursuant to 37 CFR 1.97(b)
[within 3 months of filing or prior to 1st Office Action]
[] 2 Certification Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]
[x] 3 Fee Payment Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]
[] 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)
[before issue fee payment]

Hon. Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:

[XX] 5 A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- [] 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
[x] 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
[] 8 The required certification made in item 11 below; or
[x] 9 The \$240.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
[] 10 37 CFR § 1.97(d): [before issue fee payment]; and
(a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g., "Allowed Files, Batch _____, Date of Allowance _____"), and
(b) The required Certification is stated in item 11 below.

08/10/2004 ZJHHR1 00000003 070632 09898150
01 FC:1806 180.00 DA

☐ 11 Certification

☐ 12 Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or

☐ 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

[XX] 14 Please charge the applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0832. An original and one (1) copy of this document is enclosed.

Respectfully Submitted,
DIETMAR UHDE ET AL.

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THOMSON Licensing Inc.
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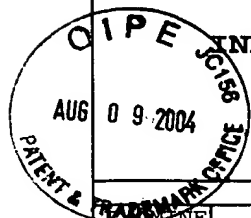
DATE: August 6, 2004

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in a postage paid envelope addressed to: Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

Date: August 6, 2004 Signature Karen Scheuch

Form IDS Ltr.
(09/01)



U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.
PD000032

SERIAL NO.
09/898,150

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97**

(Use several sheets if necessary)

APPLICANTS
Dietmar Uhde et al.

FILING DATE
July 3, 2001

GROUP
2655

U.S. PATENT DOCUMENTS

SEQUENCE INITIAL	DOCUMENT NUMBER	ISSUE DATE	APPLICANT/PATENTEE	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
AA	5,502,702	3/26/96	Nakajo			
AB						
AC						
AD						
AE						
AF						
AG						
AH						

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FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION Yes No
AI	0442566	8/21/91	EPO			
AJ	2,332,977	7/7/99	Great Britain			
AK						
AL						
AM						
AN						

OTHER INFORMATION (Including Author, Title, Pub.Date, Pertinent Pages, Country, Etc.)

AO		Search Report for European Patent Application No. 01401716 dated December 3, 2002
AP		
AQ		

EXAMINER

DATE CONSIDERED

SUBMITTED BY:

Francis A. Davenport

REG. NO.: 36,316

DATE: August 6, 2004